



Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending- June -2024

| S N | Recei ved from | Carri ed forw ard from previ ous mont h | Recei ved durin g the mont h | Total Pend ing | Resol ve d* | Pending at the end of the month** | | Avera ge Resol uti on time^ (in days) |
|--------|-----------------------------------|---|---|----------------------|----------------|--|---|---|
| | | | | | | Pend ing fo r less than 3 mont h s | Pend ing fo r more than 3 mont h s | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | |
| 1 | Directly from Investo rs | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2 | SEBI (SCO RES) | 0 | 0 | 0 | 0 | 0 | 0 | |



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

| | | | | | | | |
|---|------------------------|----------|----------|----------|----------|----------|----------|
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | *Resolved | Pending** |
|----|-------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

| | | | | | |
|----|----------------|---|---|---|---|
| 1 | April -2021 | 0 | 0 | 0 | 0 |
| 2 | May-2021 | 0 | 0 | 0 | 0 |
| 3 | June-2021 | 0 | 0 | 0 | 0 |
| 4 | July-2021 | 0 | 0 | 0 | 0 |
| 5 | August-2021 | 0 | 0 | 0 | 0 |
| 6 | September-2021 | 0 | 0 | 0 | 0 |
| 7 | October-2021 | 0 | 0 | 0 | 0 |
| 8 | November-2021 | 0 | 0 | 0 | 0 |
| 9 | December-2021 | 0 | 0 | 0 | 0 |
| 10 | January-2022 | 0 | 0 | 0 | 0 |
| 11 | February-2022 | 0 | 0 | 0 | 0 |
| 12 | March-2022 | 0 | 0 | 0 | 0 |
| 13 | April-2022 | 0 | 0 | 0 | 0 |
| 14 | May-2022 | 0 | 0 | 0 | 0 |
| 15 | June-2022 | 0 | 0 | 0 | 0 |
| 16 | July-2022 | 0 | 0 | 0 | 0 |
| 17 | August-2022 | 0 | 0 | 0 | 0 |
| 18 | September-2022 | 0 | 0 | 0 | 0 |
| 19 | October-2022 | 0 | 0 | 0 | 0 |
| 20 | November -2022 | 0 | 2 | 2 | 0 |
| 21 | December-2022 | 0 | 0 | 0 | 0 |
| 22 | January-2023 | 0 | 0 | 0 | 0 |
| 23 | February-2023 | 0 | 0 | 0 | 0 |
| 24 | March-2023 | 0 | 1 | 1 | 0 |
| 25 | April-2023 | 0 | 0 | 0 | 0 |
| 26 | May-2023 | 0 | 0 | 0 | 0 |
| 27 | June-2023 | 0 | 0 | 0 | 0 |
| 28 | July-2023 | 0 | 0 | 0 | 0 |
| 29 | August-2023 | 0 | 0 | 0 | 0 |
| 30 | September-2023 | 0 | 0 | 0 | 0 |
| 31 | October-2023 | 0 | 0 | 0 | 0 |
| 32 | November -2023 | 0 | 0 | 0 | 0 |
| 33 | December-2023 | 0 | 0 | 0 | 0 |



| | | | | | |
|----|--------------------|----------|----------|----------|----------|
| 34 | January-2024 | 0 | 0 | 0 | 0 |
| 35 | February-2024 | 0 | 0 | 0 | 0 |
| 36 | March-2024 | 0 | 0 | 0 | 0 |
| 37 | April-2024 | 0 | 0 | 0 | 0 |
| 38 | May-2024 | 0 | 0 | 0 | 0 |
| 39 | June-2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 3 | 3 | 0 |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | 0 | 3 | 3 | 0 |
| 2 | 2018-19 | 0 | 5 | 5 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 0 | 0 | 0 |
| 5 | 2021-22 | 0 | 0 | 0 | 0 |
| 6 | 2022-23 | 0 | 3 | 3 | 0 |
| 7 | 2023-24 | 0 | 0 | 0 | 0 |
| 8 | 2024-25 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 11 | 11 | 0 |